## STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. INFORMATION DISCLOSURE STATEMENT UC97-156-8 In Re Application Of: SOLGAARD, O. ET AL. MAY 2 2 2002 Serial No. Filing Date Examiner Group Art Unit 09/849,096 MAY 4, 2001 2874 MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH Invention:

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

## Statement Under 37 CFR 1.97(e)(1)

☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

## Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Dated: May 22, 2002

JOHN P. O'BANION, REG. NO. 33,201 O'BANION & RITCHEY LLP 400 CAPITOL MALL, SUITE 1550 SACRAMENTO, CA 95814 (916) 498-1010

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ERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) plicant(s): SOLGAARD, O. ET AL.			UC97-156-8
Serial No.	Group Art Unit		
09/849,096	MAY 4, 2001	LEE, J.	2874
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)					T	Docket No. UC97-156-8		
In Re Application Of: SOLGAARD, O. ET AL.								
	Serial <b>I</b> 09/849,		Filing Date MAY 4, 2001	View.	TRACTEMARY	Examiner		Group Art Unit
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	· ·			37 C	FR 1.97(b	)		y
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.								
				37 C	FR 1.97(c	)		
2. 🛚	The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:						37 CFR 1.491 in an	
		1.	a Final Action under 37 C	FR 1.1	13, or			
		2.	a Notice of Allowance und	der 37	CFR 1.311	Ι,		
	whichever occurs first.							
	Also	submitted h	nerewith is:					
	X	a certifica	tion as specified in 37 CFI	R 1.97	(e);			
			OR					
			et forth in 37 CFR 1.17(p CFR 1.97(c).	p) for	submissio	n of an Infor	mation Disc	losure Statement
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TRANSMITTAL OF	Docket No. UC97-156-8				
In Re Application Of: SOLGAARD, O. ET AL.					
Serial No. 09/849,096	PACIFICATION				
O9/849,096 MAY 4, 2001  Title: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH  Payment of Fee					
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Applicant(s): SOLGAAI	· UC97-156-8			
Serial No.	Filing Date	2 2002 20	Examiner	Group Art Unit
09/849,096	MAY 4, 2001	MAY 2 2 2002 8	LEE, J.	2874
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Information Disclosure	e Citation (PTO-1449) (Page 1)		
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